University of Guelph

Research Ethics Board (REB)

Supplement III: Cross Cultural Research

# DIRECTIONS

## How to submit:

* This supplement is primarily focused on submissions dealing with Aboriginal or First Nations peoples of Canada. However, Chapter 9 of the TCPS2 can also be applied to isolated or identifiable communities (such as participants in foreign countries, specific religious groups, etc.).

Some research involves a researcher doing work in, and with, individuals and communities whose cultures are distinct enough from that of the researcher to warrant particular care – in the planning, conduct and dissemination of the project.

Researchers proposing to work with participants in foreign countries are encouraged to include this section.

This supplement is meant to provide guidance to the researcher as well as a forum to provide information to the REB for the review process. Special consideration will be given when reviewing the protocol to ensure that the work is ethically sound as well as culturally appropriate.

* This document should be submitted as a supplement to the main REB Application form
* Email the completed form as a Word document along with the rest of the submission package to reb@uoguelph.ca.

## How to answer questions:

* The questions asked are drawn from the Tri-Council Policy Statement: Ethical Conduct of Research Involving Humans, 2nd Edition (TCPS2) [Chapter 9](http://www.pre.ethics.gc.ca/eng/policy-politique/initiatives/tcps2-eptc2/chapter9-chapitre9/)
* Not all questions will apply to your research. If you are not working with Indigenous peoples, please interpret the question to apply to the population you are engaging in research. Feel free to choose the n/a option, or explain in a text box. Do not leave questions unanswered.
* Full functionality of the form will not be available if you edit in Word Online (browser editing on Office 365). Instead, download a copy to your computer and edit in Word.
* This form is ‘unlocked’ to allow the ‘cut and paste’ function and the ‘track changes’ function to be used. You can use CTRL + F to navigate the form or go to ‘View’ and choose “Show Navigation Pane”. Please do not delete questions you think do not apply – just choose n/a.

## III.1

Discuss how you plan to engage the community in the **design** of the project?

|  |
| --- |
|  |

## III.2

How is the research relevant to the community needs and priorities?

|  |
| --- |
|  |

## III.3

How will you engage the community in the **execution** of the project?

|  |
| --- |
|  |

## III.4

How will you engage the community in the **dissemination** of the project?

|  |
| --- |
|  |

## III.5

Are there Elders or other recognized knowledge holders who can participate in the **design** of the research?

|  |
| --- |
|  |

## III.6

Are there Elders or other recognized knowledge holders who can participate in the **execution** of the research?

|  |
| --- |
|  |

## III.7

Are there Elders or other recognized knowledge holders who can participate in the **design** of ethical protocols to guide the research project?

|  |
| --- |
|  |

## III.8

Are there Elders or other recognized knowledge holders who can participate in the **interpretation of findings** in the context of cultural norms and traditional knowledge other than the researcher’s own?

|  |
| --- |
|  |

## III.9

How will research team members inform themselves about the relevant customs and codes of research practice that apply in the community or communities affected by their research?

|  |
| --- |
|  |

## III.10

Is local REB review available? If yes, [please describe the nature of the REB and its process. Please also describe how you will be seeking approval from the local REB. If no, please ensure you have described fully the guided development of ethical protocols in question III.7

|  |
| --- |
|  |

## III.11

Is community consent required in the community and/or country where the research will be undertaken? For example, is there a community based system of governance which should be recognized and involved prior to approaching community members?

|  |
| --- |
|  |

## III.12

Are there barriers to or culturally-specific considerations about obtaining access to participants? (Examples might include gaining access to female participants only through male family members).

|  |
| --- |
|  |

## III.13

What are the challenges to negotiating informed consent?

|  |
| --- |
|  |

## III.14

Will you be seeking a research agreement (such as a contract, MOU, confidentiality agreement, etc) between the University of Guelph and the research partners/university?

[ ]  No

[ ]  Yes

If yes, include a copy of the draft agreement with our submission.

If yes, have you submitted an agreement to the University of Guelph Research Services Office Contracts Department?

[ ]  No

[ ]  Yes

|  |
| --- |
|  |

## III.15

Do you have a research agreement which deals with the assignment of rights, or the granting of licenses and interests in material that may flow from the research?

[ ]  No

[ ]  Yes

## III.16

Describe how the research project will build local capacity through the enhancement of the skills of the community personnel in research methods and project management.

|  |
| --- |
|  |

## III.17

Describe how the research project will support and encourage ethical review and oversight undertaken within the local community, and underpinned by the community’s ethical standards and understanding?

|  |
| --- |
|  |

## III.18

Researchers shall not disclose personal information to community partners without the participant’s consent. Discuss how community partners have been engaged in addressing privacy and confidentiality.

|  |
| --- |
|  |

## III.19

In what ways will privacy be difficult to ensure in the community you will be working in, or with? Please explain, and discuss possible strategies to address this.

|  |
| --- |
|  |

## III.20

What different cultural views about your research activities and about personal information are at work and how will you work with those differences?

|  |
| --- |
|  |

## III.21

Will you be acquiring or using cultural property – both tangible and intellectual?

[ ]  No

[ ]  Yes

If yes, describe:

|  |
| --- |
|  |

### III.21.1

Are the people involved ceding their right or claim over those?

[ ]  No

[ ]  Yes

If yes, describe:

|  |
| --- |
|  |

III.21.2

Have they been adequately informed about their rights and repatriation?

Describe how.

|  |
| --- |
|  |

III.22

Will community representatives who are engaged in collaborative research have an opportunity to participate in:

III.22.1

The interpretation of data?

[ ]  No

[ ]  Yes

If yes, describe what form this mutual work will take.

|  |
| --- |
|  |

III.22.1.1

Will this occur before the completion of the final report?

[ ]  No

[ ]  Yes

III.22.1.2

Will this occur before finalizing all relevant publications resulting from the work?

[ ]  No

[ ]  Yes

### III.22.2

The review of research findings?

[ ]  No

[ ]  Yes

If yes, describe what form this mutual work will take.

|  |
| --- |
|  |

#### III.22.2.1

Will this occur before the completion of the final report?

[ ]  No

[ ]  Yes

#### III.22.2.2

Will this occur before finalizing all relevant publications resulting from the work?

[ ]  No

[ ]  Yes

## III.23

Are human biological materials being collected?

[ ]  No

[ ]  Yes

If yes, discuss the rights and proprietary interests of individuals and communities, and the extent that such exist.

|  |
| --- |
|  |

Submit Supplement IV

## III.24

Are you accessing data or human biological material already collected, held by a community, and identifiable as originating from a community?

[ ]  No

[ ]  Yes

If yes, discuss:

|  |
| --- |
|  |

If yes, what are community expectations regarding incentives, including but not limited to local honoraria rates and other forms of acknowledgement of time or service?

|  |
| --- |
|  |

Submit Supplement IV (biological samples) or Supplement II (data)

# Supplement III: Optional Information

|  |
| --- |
|  |